VERSION 2.0

MAY 2026

FAIS Disclosure

Statutory upfront disclosure under the Financial Advisory and Intermediary Services Act, 2002 (FAIS). Issued by Raise Global SA (Pty) LTD as the licensed Financial Services Provider.

LICENSED FSP

Raise Global SA (Pty) LTD

Reg. 2018/616118/07 · South Africa

FSCA Licence n° 50506 (Category I)

AUTHORIZED REPRESENTATIVE

Raise EU Services D.B LTD

Reg. HE428723 · Cyprus

For EU-distribution; this FAIS Disclosure applies to clients of Raise Global SA only.

VERSION

2.0

EFFECTIVE DATE

19 May 2026

KEY INDIVIDUAL

Kevin D. Wides

REVIEW CYCLE

Annual, or upon regulatory change

FAIS UPFRONT DISCLOSURE

Upfront Disclosure under FAIS

Required disclosure for clients of Raise Global SA (Pty) LTD under the Financial Advisory and Intermediary Services Act, 2002 and the FAIS General Code of Conduct.

1 FSP Identity & Contact

Name	Raise Global SA (Pty) LTD
Registration number	`2018/616118/07`
FSP licence number	**50506** · Category I · Derivative Instruments
Regulator	Financial Sector Conduct Authority (FSCA) — Republic of South Africa
Physical address	Oxford Glenhove Building 2, 114 Oxford Road, Rosebank, Johannesburg, Gauteng 2196
Website	`www.raisefx.com`
Key Individual email	`kevin.wides@raisefx.com`
Telephone	`+27 83 268 7363`

2 Company Legal Status & Mandate

Raise Global SA (Pty) LTD is authorised and regulated by the **Financial Sector Conduct Authority** (**FSP 50506**) (the **"FSP"**), and is a private company registered in the Republic of South Africa under registration number 2018/616118/07.

As a licensed Financial Services Provider in terms of FAIS, the FSP accepts responsibility for the actions of its representatives, acting within their mandates, in the rendering of financial services as defined by FAIS. Our representatives meet the fit and proper requirements prescribed by FAIS or operate under appropriate supervision in accordance with FAIS, and are qualified to assist you in a professional manner with your financial requirements.

The FSP provides a copy of its FSCA licence for inspection by the client at its place of business. The FSP acknowledges that it does not hold, directly or indirectly, more than 10% of the shares issued by any product supplier or an equivalent financial interest.

3 Authorized Representative — EU Distribution

Raise EU Services D.B LTD (Reg. HE428723), with registered office at Vasili Tsitsani 30, Agia Fyla, 3120 Limassol, Republic of Cyprus, acts as an **Authorized Representative** of Raise Global SA (Pty) LTD under an Authorized Representative Agreement. Where a prospective client is resident in the European Union, Raise EU Services D.B LTD performs the operational interface (technical and administrative services, payment processing) on behalf of the FSP. All KYC/AML obligations are discharged under the FSP's FSCA licence; this FAIS Disclosure remains the applicable upfront disclosure for the FSP's regulated services.

4 Product Provider Arrangements

The FSP, acting as an intermediary in terms of FAIS, sources liquidity and execution services from **independent, regulated third-party providers** under arms-length agreements. The current panel of providers is recorded in the FSP's internal Product Provider Register and is available for inspection by the client at the FSP's place of business.

The FSP confirms that it does not hold, directly or indirectly, more than 10% of the shares issued by any product provider, or an equivalent financial interest. Services and products rendered by the FSP's representatives are not subject to restrictions or conditions imposed by any product provider beyond those required by the FAIS Act and the FSCA Conduct Standards.

The FSP is not a market maker or product issuer and acts solely as an intermediary in terms of the FAIS Act between the client and the relevant product provider, rendering only an intermediary service. Raise Global SA (Pty) LTD does not act as principal or counterparty in any of its transactions in derivative products offered by third-party providers.

5 Key Individuals & Representatives

NAME	AUTHORISED PRODUCTS
Kevin D. Wides · Key Individual	1.13 — Derivative Instruments (excluding warrants)

The FSP confirms that its Key Individuals and Representatives are mandated and entitled to render financial advice and/or intermediary services to you in terms of FAIS. Representatives may not be full-time employees of the FSP.

The representatives are not remunerated by the Product Suppliers and confirm that they did not receive more than 30% of the preceding year's commission or remuneration from the Product Suppliers. Representatives may from time to time receive cash or non-cash incentives from Product Suppliers in line with the Conflicts of Interest Management Policy; details are recorded in a register available at our offices for inspection.

6 Financial Services and Products

The FSP holds a **Category I** licence from the FSCA in terms of FAIS, authorising it to provide advisory and/or intermediary services in respect of the following financial products:

CODE	FINANCIAL PRODUCT	ADVICE	INTERMEDIARY SERVICE
1.13	Derivative instruments excluding warrants	—	✓

Representatives are only authorised to provide services and advice within these categories. Should you require services outside of the FSP's licence, you may approach other licensed third parties authorised to render services in the relevant categories.

7 Complaint Handling & Compliance Queries

Should you have a complaint or compliance-related query, please contact the FSP's Compliance function in the first instance. The full complaint-handling procedure, timelines and escalation routes are set out in the **Group Complaints Procedure Policy**.

FSP COMPLIANCE OFFICER

Name	Mr Leonardo d'Onofrio
Firm	Oracle Compliance (Pty) Ltd
Address	3rd Floor 34 Whiteley Road Melrose Arch Birnam Johannesburg 2196
Telephone	`(011) 100 2551`
Email	`leonardo@oraclecompliance.com`

FAIS OMBUD — SOUTH AFRICA

Physical address	125 Dallas Avenue, Menlyn Central, Waterkloof Glen, Pretoria 0010
Postal address	P O Box 41, Menlyn Park 0063
Telephone	`012 762 5000`
Email	`info@faisombud.co.za`

If you wish to lodge a complaint with the FAIS Ombud against the FSP or its representatives, you will first need to show that you have attempted to resolve the matter directly with the FSP.

8 Conflicts of Interest

In accordance with the FSP's Conflicts of Interest Management Policy, the FSP places a high priority on its clients' interests. Potential conflicts of interest are inherent in any business; the FSP does not aim to avoid all conflicts but manages them equitably and in the client's interest as an integral part of its duties and obligations. The Conflicts of Interest Management Policy is available on request.

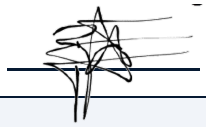
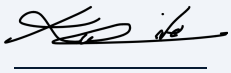
9 Other Matters of Importance

a. In terms of the Financial Intelligence Centre Act, 2001, the FSP is obliged to report any suspicious and unusual transactions that may facilitate money laundering.
b. It is important that you are absolutely sure that the product and transactions meet your needs and that you have all the information you need before making a decision.
c. The FSP maintains Professional Indemnity (PI) insurance as required under the FAIS fit-and-proper requirements. The cover is arranged through **Aon South Africa (Pty) Ltd**. Details of the policy are available to clients on written request.
d. **Waiver of rights** — no representative of the FSP or any other person may ask you, or offer any inducement to you, to waive any right or benefit conferred on you by or in terms of the FAIS Act, and any such waiver is void. No representative is authorised to enter into any contractual obligation on the client's behalf. The FSP acts in an intermediary capacity only: it does not exercise investment discretion over, and does not manage or restructure, any client portfolio. No transaction is concluded on the client's account without the client's own instruction.
e. The client authorises the FSP to access any relevant information required to provide the financial service or advice. Client information obtained by our representatives remains confidential and is not disclosed to third parties unless required by law or with your prior consent, in accordance with the Privacy & Data Protection Notice.

10 Client Understanding & Confirmation

1. The client agrees to provide the FSP with the necessary information and written consent required to effect the client's mandate.
2. The client consents to provide the FSP with any information relating to a change in the client's financial circumstances to enable the FSP to make the necessary adjustments to the financial plan.
3. The client understands that they have an obligation to provide the FSP with accurate information, material facts or statements relating to the completion of any transaction, and that they assume the sole responsibility for any damage incurred as a result of their failure to accurately disclose information.
4. It shall be the sole responsibility of the client to decide whether a product or financial decision is appropriate for their needs, objectives and circumstances, should the client elect to pursue a transaction contrary to the recommendation of the FSP.
5. By accepting these terms, the client confirms having read and received a copy of this notice.

APPROVAL & SIGN-OFF

APPROVED BY	David BOTTIN
POSITION	Chief Executive Officer (CEO) — RaiseFX Group
SIGNATURE	
APPROVED BY	Kevin Douglas Wides
POSITION	Key Individual & FICA Compliance Officer — Raise Global SA (FSCA n° 50506)
SIGNATURE	
DATE	19 May 2026

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VERSION
2.0

EFFECTIVE
19 May 2026

NEXT REVIEW
19 May 2027

KEY INDIVIDUAL
Kevin D. Wides

REGULATOR
FSCA n° 50506

— End of Disclosure —